

# Improvement of K-nearest Neighbors (KNN) Algorithm for Network Intrusion Detection Using Shannon-Entropy

Nguyen Gia Bach, Le Huy Hoang, and Tran Hoang Hai

School of Information and Communication Technology, Hanoi University of Science and Technology, Hanoi, Vietnam  
Email: {ngb1998; huyhoang100697}@gmail.com, haith@soict.hust.edu.vn

**Abstract**—Non-parametric Nearest Neighbor is an algorithm seeking for the closest data points based on the Euclidean Norm (the standard distance between two data points in a multidimensional space). The classical K-nearest Neighbor (KNN) algorithm applies this theory to find K data points in a vicinity of the considering data, then uses majority voting to label its category. This paper proposes a modification to the original KNN to improve its accuracy by changing that Euclidean Norm based on Shannon-Entropy theory in the context of Network Intrusion Detection System. Shannon-Entropy calculates the importance of features based on the labels of those data points, then the distance between data points would be re-calculated through the new weights found for these features. Therefore, it is possible to find the more suitable K data points nearby. NSL - KDD dataset is used in this paper to evaluate the performance of the proposed model. A comparison is drawn between the results of the classic KNN, related work on its improvement and the proposed algorithm as well as novel deep learning approaches to evaluate its effectiveness in different scenarios. Results reveal that the proposed algorithm shows good performance on NSL - KDD data set. Specifically, an accuracy up to 99.73% detecting DoS attacks is obtained, 5.46% higher than the original KNN, and 1.15% higher than the related work of M-KNN. Recalculating the Euclidean-Norm distance retains the contribution of the features with low importance to the data classification, while assuring that features with higher importance will have a higher impact. Thus, the proposal does not raise any concern for losing information, and even achieves high efficiency in the classification of features and data classification.

**Index Terms**—KNN, Shannon-entropy, classification, improving KNN, NSL-KDD, intrusion detection

## I. INTRODUCTION

### A. Intrusion Detection System

In recent years, cyber-attacks have caused significant losses to the industry and government due to an increasing number of devices connected to the Internet. Such devices use services-over-Internet frequently with services characterized and provided seamlessly by 5G, Cloud and Edge Computing. Network devices. These technologies interact with services and applications that allow remote access through the Internet, and thus allowing malicious agents to attack the device. Intrusion

Detection System (IDS) monitors network system for malicious flow or policy violations [1]. A key difference between firewall and IDS is that firewall only monitors and prevents external attacks. On the other hand, IDS can capture and detect both external and internal intrusion into the system. IDS play an essential role in security management, supporting network administrators to detect a variety of attacks based on their unusual behaviours, indicating whether a traffic flow might be an attack or normal. The more sophisticated and diverse attack methods on the network layer becomes, the more urgent for an IDS system to change and evolve and adapt to that intelligence and diversity. In terms of the detection technique, IDS is categorized into two classes, signature-based detection [2] and anomaly-based detection [3]. Signature-based IDS detects attacks based on predefined rules, through network traffic analysis and system logs. This technique requires maintaining a signature database, which must be updated on a regular basis for every new intrusion technique. Anomaly-based IDS detects intrusion by statistically comparing the current traffic with the usual one from system operations to detect anomaly that might be a sign of intrusion. Anomaly-based IDS detecting network intrusion based on the behaviour or pattern is said to overcome the disadvantages of signature-based IDS. As a result, it has the ability to detect zero-day attacks [4]. The downside of this approach is that the system must be regularly trained from the system logs to identify the normal behaviour, before handled by the network administrator, leading to a waste of both time and human resources. Nowadays, with the vast development of technology, especially in the field of artificial intelligence, the IDS integrated with machine learning modules to automatically detect abnormal traffic network seems to be a potential solution to solve the mentioned problems. Machine learning algorithms can provide a high accuracy for the classification of network traffic and help reduce false positive rate or avoid missing attacks.

### B. Problem Statement

One of the most basic algorithms commonly used in IDS is K-nearest Neighbours (KNN) [5] algorithm. KNN is well-known for its simple implementation since the classes are not linearly separable and no complexity for training process. However, the main disadvantage of KNN is its being sensitive with noises or irrelevant

---

Manuscript received January 12, 2021; revised July 2, 2021.

This work was supported by a research grant, T2020-PC-209, from Hanoi University of Science and Technology Research Fund.

Corresponding author email: haith@soict.hust.edu.vn

doi:10.12720/jcm.16.8.347-354

attributes, leading to less meaningful distance among points. This paper mitigates the issue by applying Shannon entropy to calculate the correlation of importance between features in a data matrix. The more significant features being more determined would have higher priority in classifying than the less significant, which is the noise. However, in the classic KNN, every feature plays an equal role in classifying the data. There are other mitigations such as putting weight on every point in the vicinity [6], showing promising results, yet failed to reflect the correlation between features.

## II. RELATED WORK

### A. *K-Nearest Neighbors (KNN) Algorithm*

KNN is a non-parametric method used for classification and regression. The algorithm calculates the Euclid distance from the data to be classified to all points in the data space, then selects K points with the closest distances. Among K points, the majority class will be assigned to the data point being labeled. KNN is a simple and intuitive model, yet still highly effective because it is non-parametric, making no assumption about data distribution. Also, it can be used directly for multi-class classification. Nevertheless, it poses several drawbacks, such as expensive computation of the training data in testing phase or being sensitive to noises. The authors in [7] adapted two fast KNN classification algorithms i.e., Indexed Partial Distance Search K-Nearest Neighbours (IKPDS), Partial Distance Search K-Nearest Neighbours (KPDS) and comparing with traditional KNN classification for Network Intrusion Detection on NSL-KDD dataset 2017 [8]. In [9], the authors propose to use PCA-fuzzy Clustering-KNN method which ensemble of Analysis of Principal Component and Fuzzy Clustering with K-Nearest Neighbours feature selection technics to detect anomalies. In recent work, [10] introduced modified KNN (M-KNN) using Gaussian fuzzy membership function to compute data values distance from K-nearest neighbors and the memberships of possible classes. It is shown that no arbitrary assignments are made by the algorithm, which might arise in choosing different k values in the original KNN. The accuracy of M-KNN on NSL-KDD dataset can reach up to 98.58%, a 4.31% increase from the original. Therefore, M-KNN is chosen in this paper to make comparison with the proposed algorithm.

### B. *Deep Learning*

In [11], Multi-Layer Perceptron (MLP) was implemented to classify normal traffic and several types of network intrusion in NSL-KDD including ipsweep, neptune, nmap, smurf, satan. The authors used feature reduction methods such as Best First Search [12] and Genetic Search [13] then trained basic MLP using Weka with default configuration in 500 epochs, 1 hidden layer, 60 neurons and achieved average accuracy of 98.72% on the merged dataset after cross-validation. A deeper MLP trained on the dataset with full features will be made as a

comparison in our paper. In addition, the work in [14] utilized Convolution Neural Network (CNN), Deep Belief Network (DBN), and Long Short Term Memory (LSTM) on the full NSL-KDD then emphasized on the superior performance of CNN detecting uncommon attacks over traditional machine learning methods and novel deep learning approaches. An accuracy reaching 80.1% was obtained by CNN on a separated testing set, KDDTest, reflecting a more objective evaluation since some attacks are unknown to the training phase. Nevertheless, aiming to compare with the proposed KNN improvement and related work, CNN and LSTM are trained and cross-validated on the merged dataset in this paper.

### C. *NSL-KDD Dataset*

A wide variety of cybersecurity datasets have been published over the years, yet with a few shortcomings. For instance, the DARPA dataset published in 1998 [15], does not reflect the actual data and its release date is too old. KDD'99 and Kyoto 2006+ [16] were announced too long ago, failed to update new attack patterns. Twente published in 2009 [17] is a dataset obtained from a honeynet network, yet its disadvantage is shown in the monotonous data and lack of attack types. ISCX2012 published in 2012 [18] is a set of data created by two systems, in which the alpha system is responsible for executing the attack scripts and the beta system performs the same tasks as the normal user. However, its downside is the lack of HTTPS port traffic that is popular today. In addition, the distribution of attack traffic does not seem practical, causing a lack of reliability. CICIDS2017 published in 2017 is a relatively complete and accurate dataset to train the model, but this dataset poses a few drawbacks. Apart from being too large and spanning over eight files, the distribution of attacks is not uniform, meaning that some types of attacks can overbalance the minor. Therefore, NSL - KDD dataset is chosen for this paper, which is quite diverse in terms of attack types, eliminating redundant records of the KDD'99 dataset and not too large for building models in the lab. This dataset consists of four subsets: KDDTest+, KDDTest-21, KDDTrain+, KDDTrain+\_20Percent, but KDDTest-21 and KDDTrain+\_20Percent are subsets of KDDTest+ and KDDTrain+. Our test dataset is not from the same probability distribution of attack types as the training data, some are unknown to the training phase, which makes it more realistic. The dataset consists of Internet traffic records observed by a simple intrusion detection network and are the traffic an IDS may encounter, which are residual traces. Each record contains 43 features, with 41 features related to the network traffic, the last 2 features being label (attack or not attack) and level of attack (severity of the incoming traffic). 24 attack types are found in training set, whereas additional 14 attack types only exist in testing set. Table I illustrates different attack types in NSL-KDD dataset. This paper focuses on the detection of DoS/DDoS attacks.

TABLE I. CLASSIFICATION OF ATTACKS IN NSL-KDD DATASET

Attack Types	Names
Probing	nmap , ipsweep, portsweep, satan, mscan, saint
Denial of Service (DoS)	teardrop, back, land, neptune, pod, smurf, apache2, mailbomb, processtable, udpstorm, worm
User to Root (U2R)	Rootkit, buffer_overflow, loadmodule , perl, ps, sqlattack, xterm
Remote to User (R2L)	imap, ftp_write, multihop, phf, spy, warezclient, warezmaster, guess_passwd, httptunnel, named, sendmail, snmpgetattack, smpguess, warezclient, warezmaster, xlock, xsnoop

### III. PROPOSED MODEL

#### A. Proposed Algorithm

Assume that there is an  $n$ -dimensional data space, calling the dimensions as  $d^1, d^2, d^3, \dots, d^n$ . The points inside data space belong to  $q$  classes, calling those classes  $c^1, c^2, c^3, \dots, c^q$ . Any data point in the data space can be noted as  $Y = [y_1, y_2, y_3, \dots, y_n]$ . And the data point to be classified is  $X = [x_1, x_2, x_3, \dots, x_n]$ . With the classic KNN algorithm, the label of the data point  $X$  will be assigned after several computational steps as follows. Firstly, calculating Euclid distance from  $X$  to all data in the database, Euclid distance from  $X$  to any point will be calculated by the formula (1):

$$D = \frac{\|X - Y\|_2}{\sqrt{(x_1 - y_1)^2 + (x_2 - y_2)^2 + \dots + (x_n - y_n)^2}} \quad (1)$$

After calculating the distance, a matrix representing the Euclid distance from  $X$  to all points in the database is:

$$A = \begin{bmatrix} D_1 & Y_1 \\ D_2 & Y_2 \\ D_3 & Y_3 \\ \dots & \dots \\ D_{m-1} & Y_{m-1} \end{bmatrix}$$

In which  $D_i$ , with  $i = 1, 2, 3, \dots, N$ , is the distance from  $X$  to point  $Y_i$  in the database,  $m$  is the number of data points in the database. Then, obtain  $K$  points with Euclid distance closest to  $X$ , by sorting  $(D_1, D_2, D_3, \dots, D_N)$  and select  $K$  points with the smallest distance. Each data point corresponds to each different class ( $D_i \leftarrow c^j \mid 1 < i < N, 1 < j < q$ ).  $X$  will be labeled to the  $c^k$  class being the majority label of the  $K$  points just found,  $X \leftarrow c^k \mid 1 < k < q$ .

The classic KNN algorithm shows its limitation when the neighbouring points are interfered with noise, the exact classification for  $X$  can be very difficult. The paper aims to enhance the algorithm as follows: denote the entropy of  $d^1, d^2, d^3, \dots, d^n$  as  $E^1, E^2, E^3, \dots, E^n$ . The entropy value of a feature relative to a label is calculated based on the formula (2):

$$E_j = H_j(\mathbf{p}) = -\sum_{i=1}^q p_i \log(p_i) \quad (2)$$

In which,  $q$  is the number of classes,  $\log$  is natural logarithm,  $\mathbf{p} = (p_1, p_2, p_3, \dots, p_q)$  and  $p_i \mid i \in \{1, 2, \dots, n\}$ ,  $0 \leq p_i \leq 1$ ,  $\sum_{i=1}^q p_i = 1$ , is the distributional probability of the number of labels of the data knowing a value of the feature. The smaller the value of entropy, the lower the redundancy of information, meaning the greater importance for that feature. Thus, if  $E^1 > E^2$ , the importance of  $d^1 < d^2$ . For a more precise classification, features with small entropy would play a more important role in classification than others. The classification of KNN algorithm relies on calculating Euclid distance between  $X$  and the data points in the data space to find closest neighbouring points. Since then, if the values  $E^1, E^2, E^3, \dots, E^n$  help reflect a better distance, the accuracy of the algorithm can be improved. This paper introduces a novel modification to the Euclid distance,

the new distance  $D'$  can be calculated like (3), denoted as EM-KNN-1 (Entropy Modified KNN 1):

$$D' = \sqrt{e^{\frac{1}{E^1}}(x_1 - y_1)^2 + e^{\frac{1}{E^2}}(x_2 - y_2)^2 + \dots + e^{\frac{1}{E^n}}(x_n - y_n)^2} \quad (3)$$

$D'$  is called the new distance from  $X$  to a certain point in the data space, called the entropy-Euclid distance. Without loss of generality, assuming  $E^1 < E^2 < E^3 < \dots < E^n$ , meaning  $d^1 > d^2 > d^3 > \dots > d^n$ , we deliver a proof that this model gives higher accuracy for classification, considering only  $d^1$  and  $d^2$ . The Euclid distance from point  $X$  to point  $Y$  is  $D_{XY} = \sqrt{(x_1 - y_1)^2 + (x_2 - y_2)^2}$ , and the distance from point  $X$  to point  $Z$  is  $D_{XZ} = \sqrt{(x_1 - z_1)^2 + (x_2 - z_2)^2}$ . If  $(x_1 - y_1)^2 = (x_2 - z_2)^2$ ,  $(x_2 - y_2)^2 = (x_1 - z_1)^2$ , then  $D_{XY} = D_{XZ}$ , meaning  $Y$  and  $Z$  have the same role in classifying

$X$ . However,  $(x_1 - y_1)^2 < (x_1 - z_1)^2$  and  $d^1$  is more important than  $d^2$ , the result would be incorrect. Using our algorithm, distances between  $X$  and  $Y, Z$  can be recalculated, revealing the true relationship:

$$\begin{aligned} d^1 > d^2 &\Leftrightarrow E^1 < E^2 \Leftrightarrow \frac{1}{E^1} > \frac{1}{E^2} \Leftrightarrow e^{\frac{1}{E^1}} > e^{\frac{1}{E^2}} \\ &\Leftrightarrow e^{\frac{1}{E^1}} - e^{\frac{1}{E^2}} > 0 \\ &\Rightarrow ((x_1 - y_1)^2 - (x_2 - y_2)^2) \left( e^{\frac{1}{E^1}} - e^{\frac{1}{E^2}} \right) < 0 \\ &\Leftrightarrow e^{\frac{1}{E^1}}(x_1 - y_1)^2 - e^{\frac{1}{E^2}}(x_1 - y_1)^2 - e^{\frac{1}{E^1}}(x_2 - y_2)^2 \\ &\quad + e^{\frac{1}{E^2}}(x_2 - y_2)^2 < 0 \\ &\Leftrightarrow e^{\frac{1}{E^1}}(x_1 - y_1)^2 + e^{\frac{1}{E^2}}(x_2 - y_2)^2 \\ &\quad < e^{\frac{1}{E^2}}(x_1 - y_1)^2 + e^{\frac{1}{E^1}}(x_2 - y_2)^2 \\ &\Leftrightarrow e^{\frac{1}{E^1}}(x_1 - y_1)^2 + e^{\frac{1}{E^2}}(x_2 - y_2)^2 \\ &\quad < e^{\frac{1}{E^2}}(x_2 - z_2)^2 + e^{\frac{1}{E^1}}(x_1 - z_1)^2 \\ &\Leftrightarrow D'_{XY} < D'_{XZ} \end{aligned}$$

Therefore, we see that the entropy-Euclid distance from X to the data point Y is closer than the distance from X to Z, reflecting the correlation between features and eliminating potential noises, and thus increase accuracy of the algorithm.

The entropy-Euclid distance can also be represented in 2 other forms, denoted as EM-KNN-2 and EM-KNN-3:

EM-KNN-2:

$$D' = \sqrt{\left(\frac{x_1-y_1}{E^1}\right)^2 + \left(\frac{x_2-y_2}{E^2}\right)^2 + \dots + \left(\frac{x_n-y_n}{E^n}\right)^2} \quad (4)$$

EM-KNN-3:

$$D' = \sqrt{\left[(x_1 - y_1)e^{\frac{1}{E^1}}\right]^2 + \left[(x_2 - y_2)e^{\frac{1}{E^2}}\right]^2 + \dots + \left[(x_n - y_n)e^{\frac{1}{E^n}}\right]^2} \quad (5)$$

**B. Data Processing**

In this paper, 80% of NSL-KDD dataset is used for training and 20% used in testing phase. The steps for pre-processing data are as follows:

- *Data digitization:* In the dataset there are a lot of string data, for example protocols include TCP, UDP, ... So, digitizing the data helps the machine learning model to understand the data that we have.
- *Data normalization:* Bringing values to a certain range helps to narrow the loss function of the MLP algorithm, while reducing data imbalance, when one feature contains values too large than the other features, this can make the prediction of the KNN algorithm will be incorrect.

After preprocessing the data, the data is saved in two files called Training, which are used to train the model and Testing is used to test the model. Fig. 1 illustrates the process.

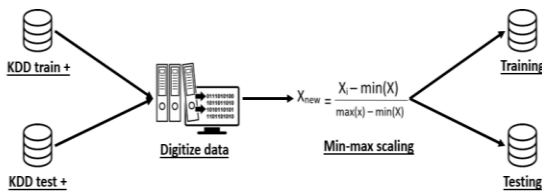


Fig. 1. Data preprocessing

**C. Proposal Model**

The model is described in details in Fig. 2:

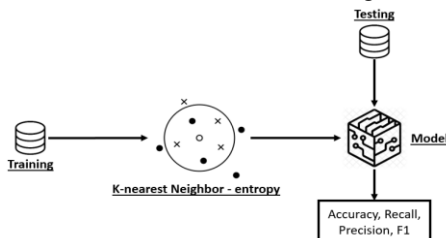


Fig. 2. Proposal model KNN and shannon entropy.

The Training dataset is provided for the proposed KNN - entropy algorithm to train, then save the model. Next, pass the Testing dataset to calculate Accuracy, Precision, Recall, F1 regarding DoS detection and compare those results with the classic KNN algorithm and M-KNN.

**D. Deep Learning Models**

This paper selects novel deep learning approaches including MLP, LSTM, CNN as comparing factors for the proposed algorithm. The neural network architecture for each model is represented in Fig. 3, Fig. 4, Fig. 5:

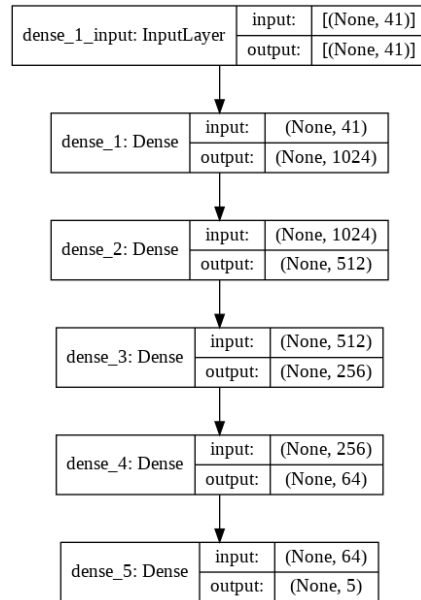


Fig. 3. MLP architecture.

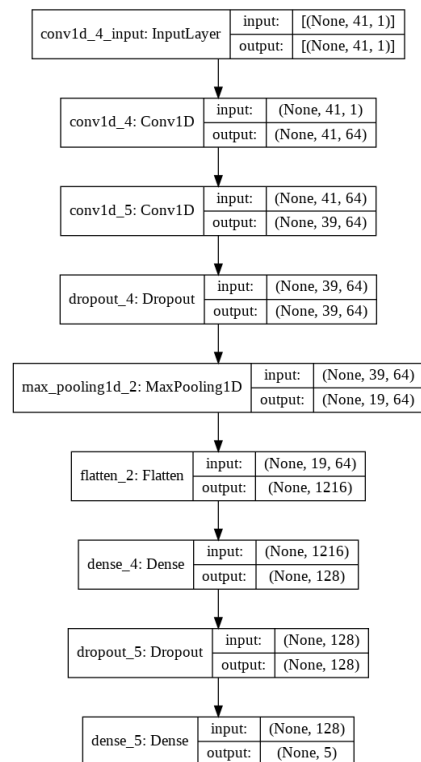


Fig. 4. CNN architecture.

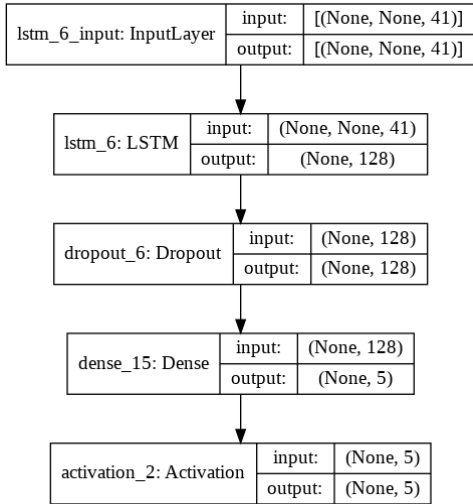


Fig. 5. LSTM architecture.

E. Performance Evaluation

Accuracy

This is the simplest way to judge a good or bad model. The Accuracy of classification is calculated as follows:

$$accuracy = \frac{\text{number of right prediction}}{\text{number of data}} \quad (6)$$

This assessment simply calculates the ratio between the number of correct predictions and the total number of predictions. Despite some limitations, accuracy metric can reflect the objectiveness of the predictions on the testing set, which is very suitable for overall model evaluation.

True Positive (TP) / False Positive (FP) / True Negative (TN) / False Negative (FN)

For each label, we may need up to 4 quantities to measure how well the model predicts on that label.

True Positive (TP): This quantity indicates the number of correct predictions of data points as positive, when they are truly positive.

False Positive (FP): This quantity shows the number of wrong predictions of data points as positive, when they are in fact negative.

True Negative (TN): This quantity indicates the number of predicted data as negative, and in fact they are negative.

False Negative (FN): This quantity shows the number of predicted data as negative, but in fact they are positive.

Therefore, by evaluating each label using above 4 quantities, we can know when a label is predicted well by the model, whether it is mistakenly predicted to another label or biased towards that label. However, each label has 4 quantities, which makes deciding which one better still not easy.

Precision & Recall

Combining the above 4 quantities into 2 quantities to make it easier for evaluation:

$$Precision = \frac{TP}{TP+FP} \quad (7)$$

$$Recall = \frac{TP}{TP+FN} \quad (8)$$

Precision demonstrates the ability of the model to correctly predict data as positive. Formula (2) reveals that the element causing Precision to rise, or fall is not TP but FP. Therefore, when Precision is high, it means the FP is small or the number of incorrectly predictions is low.

Recall demonstrates the ratio of the points correctly predicted as positive to the total number of points that belong to class positive. Recall depends on FN, so we see that TP and TN do not play a role here. In fact, in addition to Precision and Recall, there are similar metrics, but with Precision and Recall, we can focus on minimizing FN or FP only. These two components make the prediction less accurate.

F1 score

Formula:

$$\frac{2}{F1} = \frac{1}{Precision} + \frac{1}{Recall} \quad (9)$$

Despite the expectation that both the Precision and Recall parameters are high, there is always a trade-off between them. A high Precision usually leads to a lower Recall and vice versa. The reason is that if the Precision parameter is high, the model must be very sure to predict as positive, but this causes the model to potentially miss the data that is positive. So, we need to combine these two metrics into one, and tune the model in a single direction without worrying too much about Precision or Recall. Thus, we use the F1 score as the overall measure of the model.

IV. RESULTS

A. Choosing K-nearest Neighbors

With the KNN model, it is essential to choose the number of neighbors (K-nearest neighbors). If the value of K is too small, the algorithm can predict incorrectly the label of the point to be classified because there is not enough information. If K is too large, the time for calculation is long, causing waste of system resources. Fig. 6 describe the correlation between the accuracy and the number of K nodes.

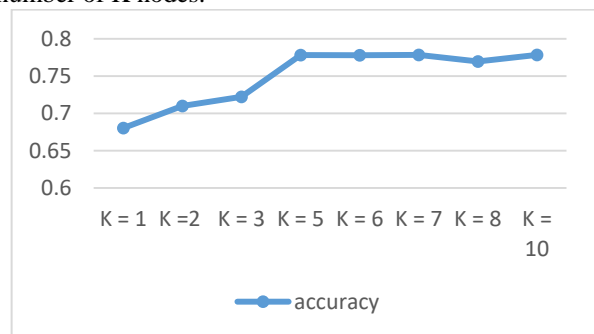


Fig. 6. Correlation between accuracy and the choice of K.

As shown above, we see that with K = 5 the graph reaches its highest point and starts going horizontally. So with K = 5, a local maximum is obtained where the

accuracy of the algorithm reaches highest. It is more feasible to find the local maximum in some problems than the global one because the less time is required with acceptable value.

*B. Performance of Proposed Model Comparing with other KNN Variations*

Table II compares the accuracy of detecting DoS using 3 proposed variations of EM-KNN with the original KNN and M-KNN [10]. It reveals that EM-KNN-2 obtains the highest accuracy up to 99.73%, a 5.46% increase from the original KNN, and 1.15% increase from M-KNN.

TABLE II. ACCURACY OF DOS DETECTION ACROSS KNN VARIATIONS

Algorithm	DoS Accuracy
KNN	94.27%
M-KNN	98.58%
EM-KNN-1	99.70%
EM-KNN-2	99.73%
EM-KNN-3	99.71%

In terms of probing and other attacks, the performance between 3 EM-KNN variations are illustrated in Fig. 7.

Based on the chart, we see that:

- The Accuracy of the detecting probe attacks by EM-KNN-3 increased by 0.35% and 0.23% compared to with EM-KNN-2 and EM-KNN-1.
- The Accuracy of the detecting DoS attacks by EM-KNN-2 increased by 0.02% and 0.03% compared to with EM-KNN-3 and EM-KNN-1.
- The Accuracy of the detecting other attacks by EM-KNN-3 increased by 0.03% and 0.04% compared to with EM-KNN-2 and EM-KNN-1.

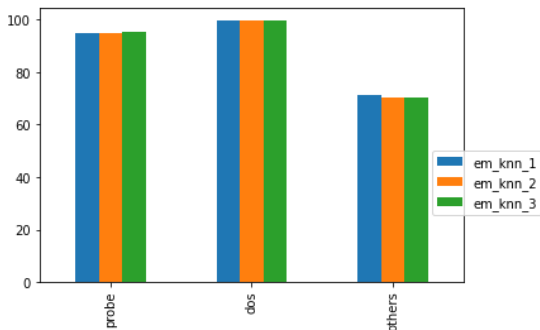


Fig. 7. Correlation between accuracy of different attacks detection and EM-KNN variations.

This result indicates that we can implement different EM-KNN variations for specific goals such as using EM-KNN-2 to detect DoS attacks, whereas EM-KNN-3 suitable for probe, and others. Nevertheless, the tradeoff might be insignificant.

*C. Performance of Proposed Model Comparing with Deep Learning Approaches*

A comprehensive comparison over the accuracy, precision, recall, f1-score of every attack type is drawn

between EM-KNN-3 and MLP, CNN, LSTM in Table III and 4. EM-KNN-3 is selected to be made comparison because it has highest average accuracy out of the 3 variations. In addition, the relation of accuracy and loss over epochs among deep learning models is revealed in Fig. 8, Fig. 9, Fig. 10.

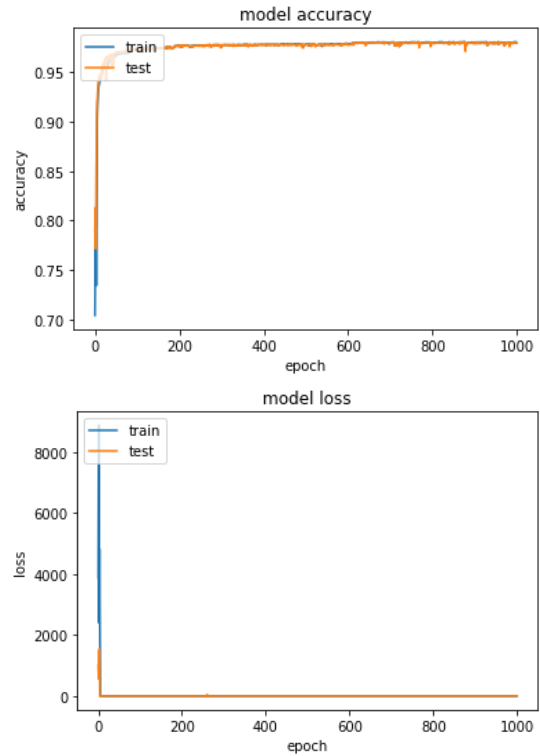


Fig. 8. Correlation between accuracy and loss of the MLP model over number of epochs.

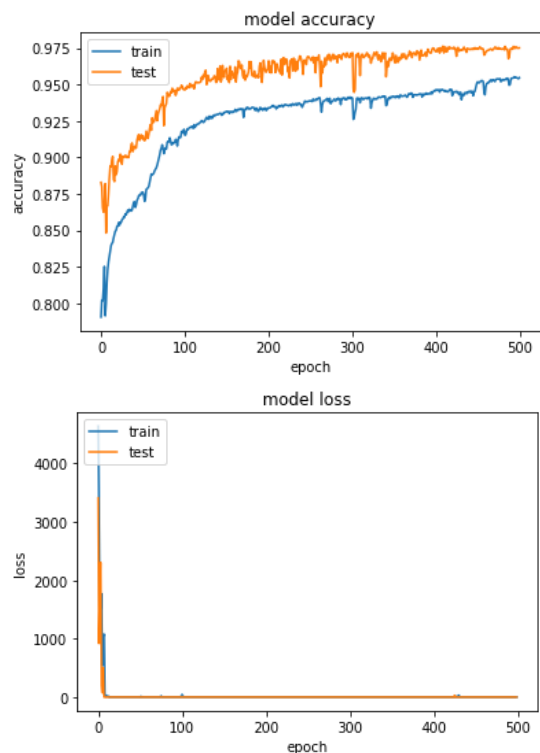


Fig. 9. Correlation between accuracy and loss of the CNN model over number of epochs.

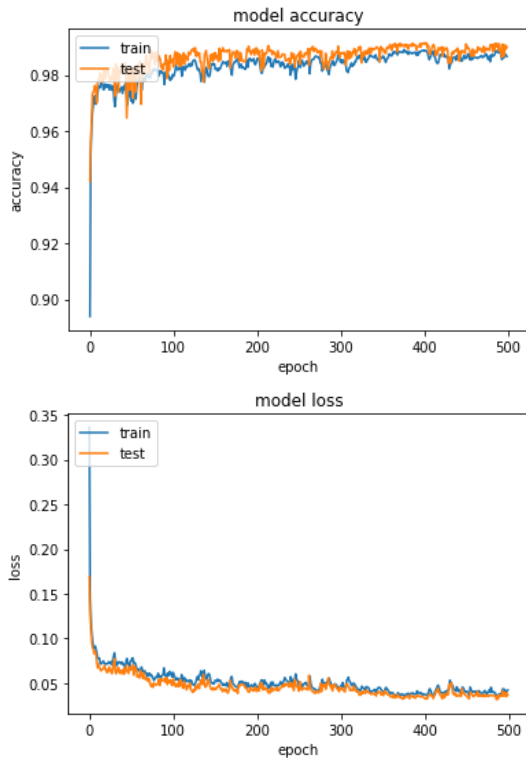


Fig. 10. Correlation between accuracy and loss of the LSTM model over number of epochs.

TABLE III. OVERALL ACCURACY BETWEEN EM-KNN, MLP, CNN, LSTM

Algorithm	Avg. Accuracy
EM-KNN-3	98.83%
MLP	98.08%
CNN	97.43%
LSTM	98.71%

Table III indicates that EM-KNN obtains better accuracy in terms of overall classification, 0.75% higher than MLP, 1.40% higher than CNN, and 0.12% higher than LSTM

TABLE IV. ACCURACY, PRECISION, RECALL, F1 OF EVERY ATTACK BETWEEN EM-KNN, MLP, CNN, LSTM

		Accuracy	Precision	Recall	F1-score
DoS	EM-KNN	0.99	0.99	1.00	0.99
	MLP	0.96	1.00	0.96	0.98
	CNN	0.95	1.00	0.96	0.98
	LSTM	0.99	1.00	1.00	1.00
Probe	EM-KNN	0.95	0.98	0.95	0.96
	MLP	0.99	1.00	1.00	1.00
	CNN	0.97	0.99	0.97	0.98
	LSTM	0.99	0.99	0.99	0.99
R2L	EM-KNN	0.90	0.96	0.91	0.93
	MLP	0.81	0.99	0.81	0.89
	CNN	0.76	0.99	0.77	0.86
	LSTM	0.80	0.97	0.81	0.88
U2R	EM-KNN	0.14	0.57	0.15	0.24
	MLP	0.03	0.50	0.04	0.07
	CNN	0.00	0.00	0.00	0.00
	LSTM	0.03	0.25	0.04	0.06

Table IV reveals EM-KNN having outstanding performance in most metrics regarding DoS, R2L, U2R

detection. However, Probe attacks can be better recognized by deep learning approaches, perhaps due to the varying nature of probing, whose hidden patterns should be well detected by deep learning algorithms.

V. CONCLUSIONS

In this paper, we have improved KNN algorithm based on Shannon - Entropy theory to apply in IDS attack classification context. The proposed model is evaluated on NSL-KDD dataset, showing a more effective performance over classic KNN algorithm as well as M-KNN and novel deep learning approaches. In the future work, we can apply the algorithm to other classification problems, indicating that the algorithm can be well applied in different problems, combining the proposed algorithm with other techniques, also known as Late Fusion [19], or ensemble methods [20,21] to increase the accuracy of the whole model or using Spark streaming [22] to reduce the training and processing time.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

AUTHOR CONTRIBUTIONS

The original idea is proposed and co-written by Dr. Tran Hoang Hai. Mr. Le Huy Hoang did all experiments and Mr. Nguyen Gia Bach wrote the paper.

ACKNOWLEDGMENT

This publication was supported by a research grant, T2020-PC-209, from Hanoi University of Science and Technology Research Fund.

REFERENCES

- [1] A. B. Mohamed, N. B. Idris, and B. Shanmugam, "A brief introduction to intrusion detection system," *Trends in Intelligent Robotics, Automation, and Manufacturing - Kuala Lumpur*, vol. 330, pp. 263-271, Nov. 28, 2012.
- [2] L. Mehrotra and P. S. Saxena, "An assessment report on: statistics-based and signature-based intrusion detection techniques," *Information and Communication Technology*, vol. 625, pp. 321-327, October 2017.
- [3] J. Veeramreddy and K. M. Prasad, "Anomaly-Based intrusion detection system," *Computer and Network Security*, June 2019.
- [4] V. D. Kunwar, "Analyzing of zero day attack and its identification techniques," in *Proc. First International Conference on Advances in Computing & Communication Engineering*, 2014, pp. 11-13.
- [5] Z. Zhang, "Introduction to machine learning: K-nearest neighbors" *Ann Transl Med.*, vol. 4, no. 11, p. 218, Jun. 2016,
- [6] G. Jianping, D. Lan, Z. Yuhong, and X.Taisong, "A new distance-weighted k -nearest neighbor classifier," *J. Inf. Comput. Sci.*, 2011.

- [7] B. Bobba and S. Kailasam, "Fast kNN classifiers for network intrusion detection system," *Indian Journal of Science and Technology*, vol. 10, no. 14, April 2017.
- [8] H. Benaddi, K. Ibrahim, and A. Benslimane, "Improving the intrusion detection system for NSL-KDD dataset based on PCA-Fuzzy Clustering-KNN," in *Proc. 6th International Conference on Wireless Networks and Mobile Communications (WINCOM)*, Marrakesh, Morocco, 2018, pp. 1-6.
- [9] NSL-KDD data set for network-based intrusion detection systems. [Online]. Available: <http://nsl.cs.unb.ca/KDD/NSLKDD.html>, March 2009.
- [10] B. Senthilnayagi, K. Venkatalakshmi, and A. Kannan, "Intrusion detection system using fuzzy rough set feature selection and modified KNN classifier," *Int. Arab J. Inf. Technol.*, 16, 746-753, 2019.
- [11] S. Alaa and A. Amneh, "A professional comparison of C4.5, MLP, SVM for network intrusion detection based feature analysis," *ICGST Journal of Computer Networks and Internet Research*, 2015.
- [12] X. Lei, Y. Pingfan, and C. Tong, "Best first strategy for feature selection," in *Proc. International Conference on Pattern Recognition*, 1988, pp. 706-708.
- [13] M. Héctor and Y. Georgios, "Genetic search feature selection for affective modeling: A case study on reported preferences," in *Proc. 3rd International Workshop on Affective Interaction in Natural Environments*, 2010.
- [14] D. Yalei and Z. Yuqing, "Intrusion detection system for NSL-KDD dataset using convolutional neural networks," in *Proc. 2nd International Conference on Computer Science and Artificial Intelligence*, 2018, pp. 81-85.
- [15] T. Ciz, S. Vishwas, and B. Narayanaswamy, "Usefulness of DARPA dataset for intrusion detection system evaluation," in *Proc. SPIE 6973, Data Mining, Intrusion Detection, Information Assurance, and Data Networks Security*, 2008.
- [16] D. D. Protić, "Review of KDD Cup '99, NSL-KDD and Kyoto 2006+ datasets," *Vojnotehnicki Glasnik/Military Technical Courier*, vol. 66, no. 3, pp. 580-596, 2018.
- [17] A. Sperotto, R. Sadre, F. V. Vliet, and A. Pras, "A labeled data set for flow-based intrusion detection," in *Proc. IP Operations and Management (IPOM 2009), Lecture Notes in Computer Science*, 2009, vol. 5843, pp. 39-50.
- [18] P. S. Bhattacharjee, A. K. M. Fujail, and S. A. Begum, "A comparison of intrusion detection by K-Means and fuzzy c-means clustering algorithm over the NSL-KDD Dataset," in *Proc. IEEE International Conference on Computational Intelligence and Computing Research*, 2017, pp. 1-6.
- [19] T. H. Hai, L. H. Hoang, and E. Huh, "Network anomaly detection based on late fusion of several machine learning models," *International Journal of Computer Network and Communications*, 2020.
- [20] Y. Zhou, G. Cheng, S. Jiang, and M. Dai, "Building an efficient intrusion detection system based on feature selection and ensemble classifier," arXiv e-prints, 2019.
- [21] Y. Xiao, J. Wu, Z. Lin, and X. Zhao, "A deep learning-based multi-model ensemble method for cancer prediction," *Computer Methods and Programs in Biomedicine*, vol. 153, pp. 1-9, 2018.
- [22] T. H. Hai and N. T. Khiem, "Architecture for IDS log processing using spark streaming," in *Proc. 2nd International Conference on Electrical, Communication and Computer Engineering (ICECCE 2020)*, Istanbul, Turkey, 2020.

Copyright © 2021 by the authors. This is an open access article distributed under the Creative Commons Attribution License ([CC BY-NC-ND 4.0](https://creativecommons.org/licenses/by-nc-nd/4.0/)), which permits use, distribution and reproduction in any medium, provided that the article is properly cited, the use is non-commercial and no modifications or adaptations are made.



**Nguyen Gia Bach** is pursuing his B.S degree in Computer Science from Hanoi University of Science and Technology, Vietnam, expected June 2021. His scientific interests are Machine Learning, Deep Learning, Network Security, and Intrusion Detection & Prevention System.



**Le Huy Hoang** received his B.S degree in Information Security from Hanoi University of Science and Technology, Vietnam in 2020. His interesting research areas are network security, machine learning, and network intrusion detection system.



**Tran Hoang Hai** received his B.S degree from Hanoi University of Science and Technology in Vietnam and M.S degree in Computer Engineering from Kyung Hee University, South Korea in 2008. Since then, he has worked at INRIA joint Alcatel-Lucent Bell Laboratory and got his Ph.D degree in computer science from University of Rennes 1 (France) in 2012. His interesting research areas are network security, routing and resource allocation mechanisms in the next generation Internet, and applied game theory to communication network. He has published several papers on those issues. He is currently Assistant Professor at Department of Data Communication & Computer Networks, School of Information & Communication Technology, Hanoi University of Science and Technology, Vietnam.